

July 21, 2017

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Dear Dr. Benromdhane,

Honeywell International, Inc. (Honeywell or Company) requests the U.S. Environmental Protection Agency (EPA or Agency) to exempt the chemical (Z)-1-chloro-3, 3, 3-trifluoro-1-propene, HCFO-1233zd(Z), (cis-1233zd (Z)) [CAS RN 99728-16-2], from the Agency's definition of the term, volatile organic compound (VOC). This exemption would allow cis-1233zd(Z) to be used in the U.S. without regulation as a potential precursor to tropospheric ozone under the requirements in 40 CFR 51.100(s). cis-1233zd(Z) has very low potential to generate ozone in the troposphere. Its maximum incremental reactivity (MIR) value is 0.08, which is three times less than that for ethane on a mass basis (Carter 2017).

Below is a summary of data from the peer-reviewed scientific literature on the atmospheric reactivity of cis-1233zd(Z) and the propensity of this molecule not to contribute to tropospheric ozone formation.

**Basis for (Z)-1-chloro-3, 3, 3-trifluoro-1-propene HCFO-1233zd(Z), (cis-1233zd (Z)) VOC exemption**

**1. Atmospheric Chemistry of cis-1233zd(Z) (Attachment 1)**

The atmospheric chemistry of cis-1233zd(Z) was examined in experiments by Andersen *et. al* [1]. (FTIR) Fourier transform infrared smog chamber techniques were used to measure the rate coefficients of Cl atoms, OH radicals and O<sub>3</sub> with cis-1233zd(Z). The atmospheric lifetime of cis-1233zd(Z) was determined by reaction with OH radicals. It was estimated to be 14 days.

The infrared spectrum of cis-1233zd(Z) was recorded and the integrated absorption over the range 600–2000 cm<sup>-1</sup> was measured to be  $(1.48 \pm 0.07) \times 10^{-16}$  cm molecule<sup>-1</sup>. Accounting for non-uniform horizontal and vertical mixing leads to a GWP 100 value of < 1. Utilizing previous relative rate studies of OH and trans-CF<sub>3</sub>CH=CHCl to adjust for unwanted Cl atom chemistry gives  $k(\text{OH} + \text{trans-CF}_3\text{CH=CHCl}) = (3.61 \pm 0.37) \times 10^{-13}$  cm<sup>3</sup> molecule<sup>-1</sup>s<sup>-1</sup>.

**2. Ozone Impact of cis-1233zd(Z): Maximum Incremental Reactivity (MIR) (Attachment 2)**

The maximum incremental reactivity (MIR) of cis-1233zd(Z) was estimated in experiments by Carter 2017. Previous experimental and modeling studies with trans-1-chloro-3,3,3-trifluoropropene (CF<sub>3</sub>CH=CHCl), has shown average ratio of mass-based incremental reactivities relative to ethane for all the box model scenarios

of  $0.19 \pm 0.03$  and the MIR ratio was  $0.16 \pm 0.02$ . It was concluded that if ethane is used as the standard to define “negligible” ozone impact for the purpose of determining VOC exemptions for ozone precursors, then trans 1-chloro-3,3,3-trifluoropropene will meet this standard. Trans 1-chloro-3,3,3-trifluoropropene was also found to have no significant effect on particle formation in the incremental reactivity chamber experiments. This means that if all other aspects of the mechanisms are similar, as is expected to be the case if the only difference is the cis vs. trans configuration, then the ratios in the reactivities would be approximately proportional to the ratios in their OH rate constants. Since the cis isomer has an OH rate constant that is 1.9 times higher used to calculate the reactivities of the trans isomer, then the reactivities for the cis isomer should be approximately than those for the trans isomer, multiplied by 1.9. That means we estimate that the ozone impact of the cis isomer relative to ethane for all the box model scenarios to be  $0.36 \pm 0.06$  and the MIR ratio to be  $0.30 \pm 0.04$ . Although the ozone impact for the cis isomer is estimated to be about twice that for the trans isomer, it is still about three times less than that for ethane on a mass basis.

It is therefore concluded that if ethane is used as the standard to define “negligible” ozone impact for the purpose of determining VOC exemptions for ozone precursors, then cis 1-chloro-3,3,3-trifluoropropene will meet this standard.

### **3. Ozone Impact of cis-1233zd(Z): Photochemical Ozone Creation Potential (Attachment 3)**

Reactivity-based VOC regulations require means to quantify ozone impacts for VOCs. The Photochemical Ozone Creation Potential (POCP) is a scale for assessing the ozone production associated with releases of a given VOC. POCP uses a photochemical air quality model to calculate the total additional ozone formed over about five days after a given release of a volatile organic compound. Ethane which has a POCP of 100 is oxidized sufficiently slowly that it does not contribute to any appreciable degree to local air quality issues and are generally exempt from air quality regulations. The POCP of cis-1233zd is 6.4 which is far below that of ethane.

Cis-1233zd has an atmospheric lifetime which is approximately half that of trans-1233zd (Andersen et al., 2014) and hence the ODP for cis-1233zd will be even lower than that for trans-1233zd. The ODP of cis-1233zd would be  $< 0.00034$ . Patten and Wuebbles (2010) concluded that at the concentrations likely to be emitted trans-1233zd is unlikely to affect stratospheric ozone. Haloolefins have ODPs which are zero, or near zero, and will not impact stratospheric ozone.



#### **4. Toxicological and Ecotoxicology profile of Cis-1-chloro-3,3,3-trifluoropropene**

**Toxicology:** Acute inhalation toxicity of cis-1-chloro-3,3,3-trifluoropropene [1233zd(Z)] has been evaluated in rats with a 4h LC50 between 36,021 and 53,352 ppm. Cardiac sensitization threshold, evaluated in beagle dogs through inhalation route of exposure, is 5000 ppm and No Observed Effect Level (NOEL) is 2500 ppm. Longest duration repeated-dose exposure study available for this molecule is a 13-week exposure study through inhalation route of exposure in rats. In this study, there were no adverse effects observed at 5000 ppm, the highest concentration tested. Genotoxicity profile of 1233zd(Z) is characterized through several in vitro and in vivo assays. 1233zd(Z) is not genotoxic.

**Ecotoxicology:** 1233zd(Z) has been tested for its ecotoxicological properties in algae, daphnia and fish. The 72h EC50 in algae is >97.5 mg/L and the No Observed Effect Concentration (NOEC) is 41.0 mg/L. In daphnia, the 48h EC50 is 27.6 mg/L and NOEC is 21.4 mg/L. Toxicity test conducted in rainbow trout demonstrated a 96h LC50 of 46.8 mg/L and NOEC of 4.22 mg/L. A study is available to evaluate the biodegradability of 1233zd(Z). In this study, 1233zd(Z) was not biodegraded by the microorganisms under the test conditions. Overall, 1233zd(Z) has relatively low toxicity to aquatic organisms.

#### **Use of cis-1233zd(Z)**

Cis-1233zd(Z) is used mainly as a cleaning agent in precision cleaning of components, such as metal and electronics precision cleaning applications and is expected to be a 1 to 1 replacement of ODS substances. Cis-1233zd(Z) can be blended with other chemicals is used to remove either highly fluorinated oils, hydrocarbon-based oils, grease, fluxes and non-volatile residues from industrial surfaces and parts. For aerosol applications, Cis-1233zd(Z) is expected to replace ODS substances at a ratio of two in non-flammable energized electrical contact cleaners for general cleaning applications and flux removal. In carrier fluid applications such as adhesives and coatings, Cis-1233zd(Z) is also expected to replace ODS substances in a ratio of 1 to 1. These applications rely on Cis-1233zd(Z) characteristics such as solvency power, non-flammable, low environmental impact, dielectric properties, surface tension, vapor pressure, viscosity and low toxicity profile.

#### **Justification for expedited petition ruling**

The development of measures to reduce ozone concentrations is leading to the phase out of products with Ozone Depletion Potentials (ODP) and high Global Warming Potentials (GWP). Cis-1233zd(Z) is an HFO with low (<1) GWP and Zero ODP. Cis-1233zd(Z) can be used to replace high GWP and ODP industrial solvents, foam blowing agents and refrigerants. If the VOC exemption under the Federal Clean Air Act is granted, cis-1233zd(Z) will be an effective substitute in the development of environmentally sustainable solutions that reduce ozone formation. Cis-1233zd(Z) is relatively low to aquatic organisms and is not classified as a hazardous substance or mixture according to the Occupational Safety and Health Administration (OSHA) Hazard Communications Standard 2012. The cis-1233zd(Z) VOC exemption will help the precision cleaning, and aerosol industries meet their sustainability goals since the use of cis-1233zd(Z) as a low GWP and Zero

ODP can significantly reduce their greenhouse gas emissions. The Premanufacture Notice (PMN # 17-0267) and a SNAP addendum application under the Significant New Alternatives Policy program have been submitted and are under review.

### **Conclusion**

Based on the atmospheric chemistry and the ozone impact presented in this petition, Honeywell requests that the US EPA grant cis-1233zd(Z) a VOC exemption.

Please contact the following people if you have any questions about this request or need further clarification.

Sincerely,

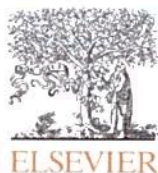
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## **References**

- 1 Andersen, L.L., et al., Atmospheric chemistry of cis-CF<sub>3</sub>CH=CHCl (HCFO-1233zd(Z)): Kinetics of the gas-phase reactions with Cl atoms, OH radicals, and O<sub>3</sub>. Chemical Physics Letters 639, 2015: p. 289-293.
- 2 Carter, W.P.L., Estimation of Ground Level Atmospheric Ozone Impacts of Cis 1-Chloro-3,3,3-Trifluoropropene, Final Report to Honeywell International Inc., March 2017: p. 1-4.
- 3 Wallington, T.J., et al., Atmospheric chemistry of short-chain haloolefins: Photochemical ozone creation potentials (POCPs), global warming potentials (GWPs), and ozone depletion potentials (ODPs), Chemosphere 129, 2015: p. 135-141.
- 4 cis-1-Chloro-3,3,3-Trifluoropropene Safety Data Sheet (SDS)





# Atmospheric chemistry of *cis*-CF<sub>3</sub>CH=CHCl (HCFO-1233zd(Z)): Kinetics of the gas-phase reactions with Cl atoms, OH radicals, and O<sub>3</sub>

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## ABSTRACT

FTIR smog chamber techniques were used to measure the rate coefficients  $k(\text{Cl} + \text{cis-CF}_3\text{CH=CHCl}) = (6.26 \pm 0.84) \times 10^{-11}$ ,  $k(\text{OH} + \text{cis-CF}_3\text{CH=CHCl}) = (8.45 \pm 1.52) \times 10^{-13}$ , and  $k(\text{O}_3 + \text{cis-CF}_3\text{CH=CHCl}) = (1.53 \pm 0.12) \times 10^{-21} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ . The atmospheric lifetime of *cis*-CF<sub>3</sub>CH=CHCl is determined by reaction with OH radicals and is estimated to be 14 days. The infrared spectrum of *cis*-CF<sub>3</sub>CH=CHCl was recorded and the integrated absorption over the range 600–2000 cm<sup>-1</sup> was measured to be  $(1.48 \pm 0.07) \times 10^{-16} \text{ cm molecule}^{-1}$ . Accounting for non-uniform horizontal and vertical mixing leads to a GWP<sub>100</sub> value of essentially zero. Correction to account for unwanted Cl atom chemistry in our previous relative rate study of the kinetics of the reaction of OH with *trans*-CF<sub>3</sub>CH=CHCl gives  $k(\text{OH} + \text{trans-CF}_3\text{CH=CHCl}) = (3.61 \pm 0.37) \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ .

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## 1. Introduction

Recognition of the environmental consequences of the release of chlorofluorocarbons (CFCs) and halons into the atmosphere [1,2] has led to an international effort to replace these compounds with environmentally acceptable alternatives. While protection of the ozone layer has been the focus of these efforts, concerns related to global climate change are becoming an increasingly important consideration in the choice of alternative compounds.

Saturated hydrofluorocarbons (HFCs), such as CF<sub>3</sub>CFH<sub>2</sub> (HFC-134a), have become widely used CFC replacements. HFCs do not contain chlorine and therefore do not contribute to chlorine-based catalytic destruction of stratospheric ozone [3]. Haloolefins are a new generation of CFC replacements which are being developed. Haloolefins have a greater reactivity than HFCs toward OH radicals and hence have shorter atmospheric lifetimes and smaller global warming potentials. *cis*-1-chloro-3,3,3-trifluoropropene (*cis*-CF<sub>3</sub>CH=CHCl, HCFO-1233zd(Z)) is a haloolefin which has been developed for use in degreasing of mechanical parts and in dry cleaning. *cis*-CF<sub>3</sub>CH=CHCl contains a chlorine atom that potentially can participate in the destruction of the ozone layer. However,

if the atmospheric lifetime of *cis*-CF<sub>3</sub>CH=CHCl is sufficiently low, the compound will not reach the stratosphere, and thus not participate in the catalytic destruction of the ozone layer. Prior to large-scale industrial use an assessment of the atmospheric chemistry and environmental impact of *cis*-CF<sub>3</sub>CH=CHCl is needed. To fulfill this need we have conducted an experimental investigation of the atmospheric chemistry of *cis*-CF<sub>3</sub>CH=CHCl. Experiments were conducted in the smog chamber at Ford Motor Company (Ford), Michigan, USA, and in the photoreactor at the Copenhagen Center for Atmospheric Research (CCAR) at University of Copenhagen, Denmark. Fourier transform infrared (FTIR) smog chamber techniques were used to determine the kinetics of the gas-phase reactions of Cl atoms, OH radicals, and O<sub>3</sub> with *cis*-CF<sub>3</sub>CH=CHCl. The IR spectrum of *cis*-CF<sub>3</sub>CH=CHCl was measured and the global warming potential (GWP) of *cis*-CF<sub>3</sub>CH=CHCl was calculated.

## 2. Experimental method

The experimental procedures are described in detail elsewhere [4] and only a brief summary is given here. Chlorine atoms were generated by photolysis of molecular chlorine:



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Hydroxyl radicals (OH) were generated by UV irradiation of methyl nitrite ( $\text{CH}_3\text{ONO}$ ) in air in the presence of nitric oxide:



The concentrations of reactants and products were monitored using *in situ* FTIR spectroscopy. Analysis of the spectra was carried out by a process of spectral stripping in which scaled reference spectra were subtracted from the sample spectrum. Reference spectra were acquired by expanding known volumes of reference compounds into the reaction chambers.

The relative rate method is a well-established technique for measuring the rate coefficients of chlorine atoms or OH radicals with organic compounds. Kinetic data were derived by monitoring the loss of *cis*- $\text{CF}_3\text{CH}=\text{CHCl}$  relative to one or more reference compounds. The decays of the reactant and reference are then plotted using the expression:

$$\ln \left( \frac{[\text{cis-}\text{CF}_3\text{CH}=\text{CHCl}]_{t_0}}{[\text{cis-}\text{CF}_3\text{CH}=\text{CHCl}]_t} \right) = \frac{k_{\text{Reactant}}}{k_{\text{Reference}}} \ln \left( \frac{[\text{Reference}]_{t_0}}{[\text{Reference}]_t} \right) \quad (1)$$

where  $[\text{cis-}\text{CF}_3\text{CH}=\text{CHCl}]_{t_0}$ ,  $[\text{cis-}\text{CF}_3\text{CH}=\text{CHCl}]_t$ ,  $[\text{Reference}]_{t_0}$  and  $[\text{Reference}]_t$  are the concentrations of *cis*- $\text{CF}_3\text{CH}=\text{CHCl}$  and the reference compound at times  $t_0$  and  $t$ . The slope is then the ratio of the rate coefficient for reaction of either Cl atoms or OH radicals toward *cis*- $\text{CF}_3\text{CH}=\text{CHCl}$  and the corresponding reaction for the reference compound.

The kinetics of the  $\text{O}_3$  reaction were studied using an absolute rate method, in which the pseudo first order loss of reactant was measured in the presence of excess  $\text{O}_3$ . A linear plot of the pseudo-first order rate coefficients versus the initial  $\text{O}_3$  concentration gives a slope of  $k_5$ .



In smog chamber experiments unwanted loss of reactants, reference compounds, and products via photolysis and heterogeneous reactions need to be considered. To test for the presence of heterogeneous reactions, mixtures obtained after UV irradiation were allowed to stand in the dark in the chamber for 30 min. There was no observable (<2%) loss of reactants or products, suggesting that heterogeneous reactions are not a significant complication in the present experiments.

Potential systematic uncertainties inherent in the analysis of the IR spectra are typically  $\pm 1\%$  of the initial reactant concentration. Unless otherwise stated, we choose to cite final values with error limits, which include two standard deviations from the least squares regression and 5% uncertainty in the reactant calibrations. *cis*- $\text{CF}_3\text{CH}=\text{CHCl}$  was supplied by Honeywell International Inc. with a purity of >99%. The sample was degassed in several freeze-pump-thaw cycles before use.

### 2.1. FTIR smog chamber system at ford

Experiments were performed in a 140 liter Pyrex reactor connected to a Mattson Sirius 100 FTIR spectrometer [5]. The reactor was surrounded by 22 fluorescent black lamps (GE F40BLB), which were used to photochemically initiate the experiments. Experiments were performed at  $296 \pm 1\text{ K}$  in 700 Torr of air or  $\text{N}_2$  diluent. The IR spectra were derived from 32 co-added interferograms with a spectral resolution of  $0.25\text{ cm}^{-1}$  and an analytical path length of 27.6 m. Reactant and reference compounds were monitored using absorption features over the following wavenumber ranges:  $\text{C}_2\text{H}_2$ ,  $650\text{--}800\text{ cm}^{-1}$ ;  $\text{C}_2\text{H}_4$ ,  $900\text{--}1000\text{ cm}^{-1}$ ; *cis*- $\text{CF}_3\text{CH}=\text{CHCl}$ ,  $850\text{--}890\text{ cm}^{-1}$ .

$\text{CH}_3\text{ONO}$  was synthesized by drop-wise addition of concentrated  $\text{H}_2\text{SO}_4$  to a saturated solution of  $\text{NaNO}_2$  in methanol and was devoid of any detectable impurities using FTIR analysis. All other reagents were obtained from commercial sources at purities >99%.

### 2.2. FTIR photoreactor at University of Copenhagen

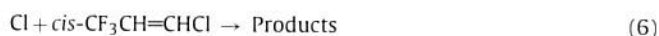
The CCAR photoreactor consists of a 101 liter quartz reactor connected to a Bruker IFS 66 v/s FTIR spectrometer [6]. Experiments were performed at  $296 \pm 1\text{ K}$  in 700 Torr of air diluent. The IR spectra were derived from 64 co-added interferograms with a spectral resolution of  $0.25\text{ cm}^{-1}$  and an analytical path length between 50.01 and 53.42 m. Reactant and reference compounds were monitored using absorption features over the following wavenumber ranges:  $\text{C}_2\text{H}_2$ ,  $650\text{--}800\text{ cm}^{-1}$ ;  $\text{C}_2\text{H}_4$ ,  $900\text{--}1000\text{ cm}^{-1}$ ; *cis*- $\text{CF}_3\text{CH}=\text{CHCl}$ ,  $850\text{--}890$  and  $1610\text{--}1670\text{ cm}^{-1}$ ;  $\text{O}_3$ ,  $2720\text{--}2785\text{ cm}^{-1}$ .

Ozone was produced using a commercially available ozone generator from  $\text{O}_3$ -Technology. The ozone was preconcentrated using a silica gel trap, reducing the amount of  $\text{O}_2$  introduced into the chamber. All other reagents were obtained from commercial sources at purities >99%.

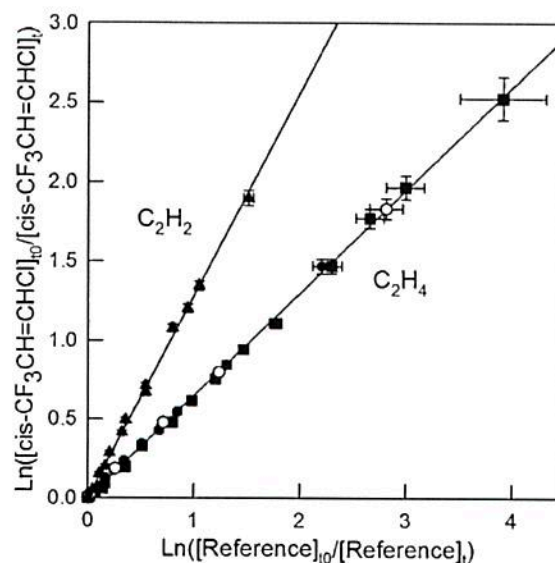
## 3. Results and discussion

### 3.1. Relative rate study of Cl + *cis*- $\text{CF}_3\text{CH}=\text{CHCl}$

The rate of reaction (6) was measured relative to reactions (7) and (8):



The initial mixtures consisted of 4.41–15.8 mTorr *cis*- $\text{CF}_3\text{CH}=\text{CHCl}$ , 58.8–106 mTorr  $\text{Cl}_2$  and either 4.17–6.69 mTorr  $\text{C}_2\text{H}_4$  or 4.41–4.85 mTorr  $\text{C}_2\text{H}_2$  in a total pressure of 700 Torr air or  $\text{N}_2$  diluent. The loss of *cis*- $\text{CF}_3\text{CH}=\text{CHCl}$  is plotted against the loss



**Figure 1.** Loss of *cis*- $\text{CF}_3\text{CH}=\text{CHCl}$  relative to  $\text{C}_2\text{H}_4$  (squares and circles) and  $\text{C}_2\text{H}_2$  (triangles) in the presence of chlorine atoms in 700 Torr total pressure of air (solid symbols) or  $\text{N}_2$  (open symbols),  $296 \pm 1\text{ K}$ . Experiments were performed at Ford (triangles and circles) and CCAR (squares). The error bars reflect the uncertainty in the determination of the reactant concentrations.



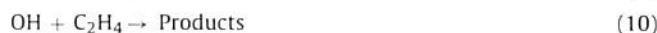
of the reference compound in Figure 1. Indistinguishable results were obtained from experiments performed in air and N<sub>2</sub> diluent.

Linear least squares analysis of the data in Figure 1 gives  $k_6/k_7 = 0.65 \pm 0.04$  and  $k_6/k_8 = 1.28 \pm 0.08$ . Using  $k_7 = (9.29 \pm 0.51) \times 10^{-11}$  and  $k_8 = (5.07 \pm 0.34) \times 10^{-11}$  [7] gives  $k_6 = (6.03 \pm 0.48) \times 10^{-11}$  and  $(6.49 \pm 0.61) \times 10^{-11}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>, respectively. The fact that consistent values of  $k_6$  were derived from experiments using different reference compounds suggests the absence of significant systematic errors in the present work. We choose to quote a final value for  $k_6$  which is the average of the individual determinations together with uncertainties that encompass the extremes of the two individual determinations, hence,  $k_6 = (6.26 \pm 0.84) \times 10^{-11}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>.

This is the first study of the reaction of chlorine atoms with *cis*-CF<sub>3</sub>CH=CHCl. We have previously studied the reactivity of chlorine atoms toward *trans*-CF<sub>3</sub>CH=CHCl. The rate coefficient ratios measured for the *trans* isomer using C<sub>2</sub>H<sub>4</sub> and C<sub>2</sub>H<sub>2</sub> references were approximately 10% smaller [8] than those measured here showing that the *cis* isomer is slightly more reactive than the *trans* isomer toward chlorine atoms. This difference may be explained by steric hindrance.

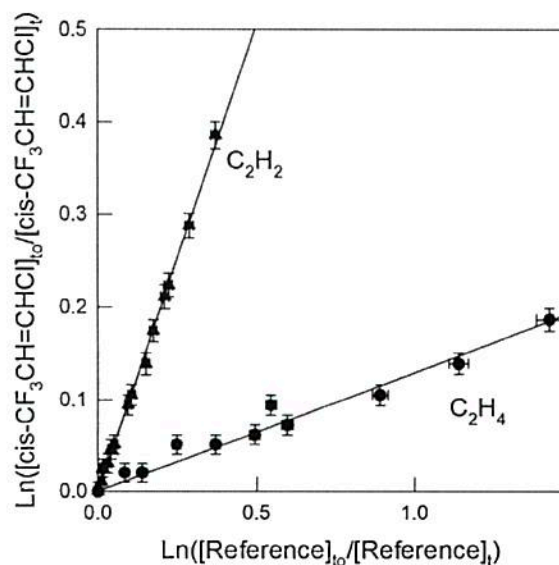
### 3.2. Relative rate study of OH + *cis*-CF<sub>3</sub>CH=CHCl

The kinetics of reaction (9) were measured relative to reactions (10) and (11):



Initial reaction mixtures consisted of 58.5–63.2 mTorr *cis*-CF<sub>3</sub>CH=CHCl, 108–205.7 mTorr CH<sub>3</sub>ONO, and 7.05–7.35 mTorr C<sub>2</sub>H<sub>4</sub> or 4.26–7.35 mTorr C<sub>2</sub>H<sub>2</sub>, and 0–14.7 mTorr NO in a total pressure of 700 Torr air and N<sub>2</sub> diluent. Figure 2 shows the loss of *cis*-CF<sub>3</sub>CH=CHCl plotted as a function of the loss of the reference compound.

Linear least squares analysis of the data in Figure 2 gives  $k_9/k_{10} = 0.129 \pm 0.016$  and  $k_9/k_{11} = 1.01 \pm 0.07$ . We have shown



**Figure 2.** Loss of *cis*-CF<sub>3</sub>CH=CHCl relative to C<sub>2</sub>H<sub>4</sub> (circles) and C<sub>2</sub>H<sub>2</sub> (triangles) in the presence of OH radicals in 700 Torr total pressure of air and N<sub>2</sub>, 296 ± 1 K. The error bars reflect the uncertainty in the determination of the reactant concentrations.

that Cl atoms are released during the OH initiated oxidation of CF<sub>3</sub>CH=CHCl [9]:

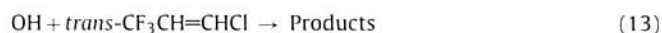


The Cl atoms will react with *cis*-CF<sub>3</sub>CH=CHCl and pose a challenge for the OH relative rate measurement of  $k_9$ . Ideally, to minimize such complications a competitor would be added to the reaction mixtures to scavenge the Cl atoms. Alkanes, such as C<sub>2</sub>H<sub>6</sub>, are often used as chlorine atom scavengers but are not suitable for use in the present experiments because their reactivity toward OH radicals is comparable to that of *cis*-CF<sub>3</sub>CH=CHCl and hence would scavenge both chlorine atoms and OH radicals. To account for additional loss of *cis*-CF<sub>3</sub>CH=CHCl in the OH relative rate experiments caused by Cl atoms the system was modeled numerically. A model was constructed which incorporated the concentrations of *cis*-CF<sub>3</sub>CH=CHCl, C<sub>2</sub>H<sub>4</sub>, and C<sub>2</sub>H<sub>2</sub> used in the experiments, values of  $k_6$ – $k_8$  and  $k_9$ – $k_{11}$  given above, and a chlorine atom yield of 40% from OH radical initiated oxidation as found for *trans*-CF<sub>3</sub>CH=CHCl [9]. The value of  $k_9$  in the model was iterated starting with an uncorrected value, computing a correction, and then using the corrected value in the model to reevaluate the correction. The correction was larger for the experiments using C<sub>2</sub>H<sub>4</sub> as reference than for those using C<sub>2</sub>H<sub>2</sub> as reference. This reflects the fact that there is an approximately 5-fold difference in the rate coefficient ratios  $k_6/k_7$  and  $k_9/k_{10}$ , while there is only approximately 10% difference in the rate coefficient ratios  $k_6/k_8$  and  $k_9/k_{11}$ . The corrections applied to the C<sub>2</sub>H<sub>4</sub> data were approximately 20% while those to the C<sub>2</sub>H<sub>2</sub> data were approximately 5%. Correcting for the impact of chlorine atoms and propagating an additional 5% uncertainty to account for uncertainties in the correction procedure gives  $k_9/k_{10} = 0.103 \pm 0.014$  and  $k_9/k_{11} = 0.960 \pm 0.082$ .

Using  $k_{10} = 8.52 \times 10^{-12}$  [10] and  $k_{11} = 8.45 \times 10^{-13}$  [11] gives  $k_9 = (8.78 \pm 1.19) \times 10^{-13}$  and  $(8.11 \pm 0.69) \times 10^{-13}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>. The fact that consistent values of  $k_9$  were derived from experiments using different reference compounds suggests the absence of significant systematic errors in the present work. We choose to quote a final value for  $k_9$ , which is the average of the individual determinations with uncertainties that encompass the extremes of the individual determinations, hence,  $k_9 = (8.45 \pm 1.52) \times 10^{-13}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>. This result is consistent with the value of  $(9.46 \pm 0.22) \times 10^{-13}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> reported by Gierczak et al. [12].

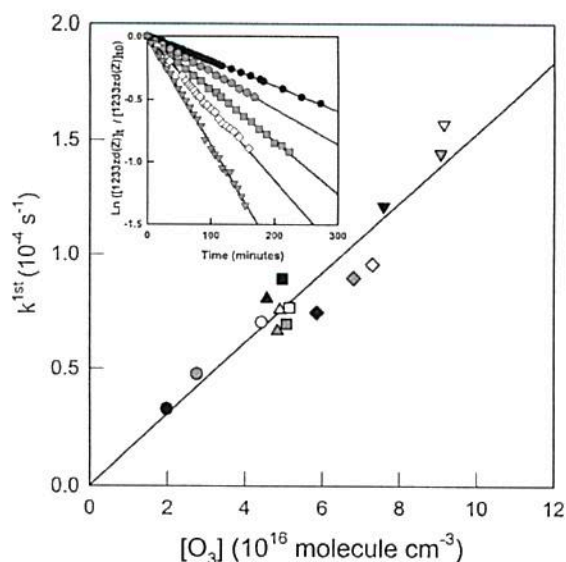
### 3.3. Relative rate study of OH + *trans*-CF<sub>3</sub>CH=CHCl

We have previously conducted a relative rate study of the kinetics of the reaction of OH radicals with *trans*-CF<sub>3</sub>CH=CHCl using C<sub>2</sub>H<sub>4</sub> and C<sub>2</sub>H<sub>2</sub> as references and reported rate coefficient ratios of  $k_{13}/k_{10} = 0.053 \pm 0.003$  and  $k_{13}/k_{11} = 0.506 \pm 0.031$  [8].



As discussed above, the formation of chlorine atoms is an unavoidable complication in relative rate studies of the reaction of OH radicals with CF<sub>3</sub>CH=CHCl. To compute corrections to account for chlorine chemistry in the OH experiments a model was constructed which incorporated the concentrations of *trans*-CF<sub>3</sub>CH=CHCl, C<sub>2</sub>H<sub>4</sub>, and C<sub>2</sub>H<sub>2</sub> used in the previous experiments, values of  $k_6$ ,  $k_7$ ,  $k_8$ ,  $k_{10}$ ,  $k_{11}$ , and  $k_{13}$ , and a chlorine atom yield of 40% from OH radical initiated oxidation of *trans*-CF<sub>3</sub>CH=CHCl. The corrections applied to the C<sub>2</sub>H<sub>4</sub> data were approximately 20% while those for the C<sub>2</sub>H<sub>2</sub> data were approximately 15%. Correcting for the impact of chlorine atoms and propagating an addition 5% uncertainty to account for uncertainties in the correction procedure gives  $k_{13}/k_{10} = 0.042 \pm 0.004$  and  $k_{13}/k_{11} = 0.430 \pm 0.034$ . Using  $k_{10} = 8.52 \times 10^{-12}$  [10] and  $k_{11} = 8.45 \times 10^{-13}$  [11] gives  $k_{13} = (3.58 \pm 0.34) \times 10^{-13}$  and





**Figure 3.** Pseudo-first order loss of *cis*-CF<sub>3</sub>CH=CHCl versus O<sub>3</sub> concentration. All data were obtained at CCAR. The inset shows the data obtained for 0.61, 0.85, 1.6, 2.3, and 2.80 Torr of O<sub>3</sub> in 700 Torr total pressure of air diluent, 295 ± 1 K.

$(3.63 \pm 0.29) \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ , respectively. We choose to quote a final value for  $k_{13}$ , which is the average of the individual determinations with uncertainties that encompass the extremes of the individual determinations, hence,  $k_{13} = (3.61 \pm 0.37) \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ . This value is in agreement with the value of  $(3.76 \pm 0.06) \times 10^{-13}$  (296 K) reported by Gierczak et al. [12] and the value of  $(3.29 \pm 0.10) \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  (298 K) reported by Orkin et al. [13]. Interestingly, the *trans* isomer is approximately a factor of 2 less reactive than the *cis* isomer toward OH radicals. An investigation of the significant difference between the rate coefficients for the *trans* and the *cis* isomer could be performed with a computational study, but is beyond the scope of the present study.

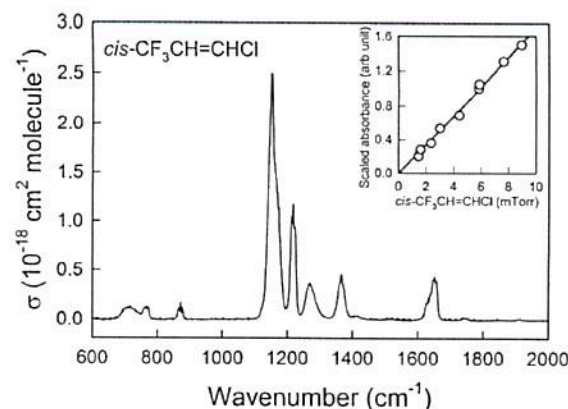
#### 3.4. Absolute rate of O<sub>3</sub> + *cis*-CF<sub>3</sub>CH=CHCl

The kinetics of reaction (5) were studied by observing the decay of *cis*-CF<sub>3</sub>CH=CHCl when exposed to O<sub>3</sub> in the reaction chamber at CCAR:



Cyclohexane was added to the reaction mixture as OH scavenger to avoid potential problems associated with the loss of *cis*-CF<sub>3</sub>CH=CHCl via reaction with OH radicals formed in reaction (5) [10]. Initial reaction mixtures consisted of 4.07–4.17 mTorr *cis*-CF<sub>3</sub>CH=CHCl, 3.96–31.79 mTorr cyclohexane, and 0.61–2.82 Torr O<sub>3</sub> in a total pressure of 700 Torr air diluent. Variation in the [cyclohexane]/[*cis*-CF<sub>3</sub>CH=CHCl] ratio over the range of 0.9–7.6 had no discernable effect on the observed decay of *cis*-CF<sub>3</sub>CH=CHCl suggesting that loss via reaction with OH radicals is not a significant complication.

The loss of *cis*-CF<sub>3</sub>CH=CHCl followed pseudo first-order kinetics in all experiments. The insert in Figure 3 shows the data obtained for 0.61, 0.85, 1.6, 2.3, and 2.80 Torr of O<sub>3</sub>. A plot of the pseudo first-order decay of *cis*-CF<sub>3</sub>CH=CHCl versus O<sub>3</sub> concentration is shown in Figure 3. A linear least squares fit gives  $k_5 = (1.53 \pm 0.09) \times 10^{-21} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ . We choose to cite a final value for  $k_5$  with error limits which include two standard deviations from the least squares regression



**Figure 4.** IR spectrum of *cis*-CF<sub>3</sub>CH=CHCl in 700 Torr of air at 295 K (Ford).

and a propagated 5% uncertainty in the O<sub>3</sub> calibration, of  $k_5 = (1.53 \pm 0.12) \times 10^{-21} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ .

This is the first study of the reaction of O<sub>3</sub> with *cis*-CF<sub>3</sub>CH=CHCl. We have previously reported a value of  $(1.46 \pm 0.12) \times 10^{-21} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  for the *trans* isomer, *trans*-CF<sub>3</sub>CH=CHCl [8]. The reactivities of *cis*- and *trans*-CF<sub>3</sub>CH=CHCl toward O<sub>3</sub> are indistinguishable.

#### 3.5. Infrared spectrum of *cis*-CF<sub>3</sub>CH=CHCl

IR spectra were obtained by expanding known volumes of *cis*-CF<sub>3</sub>CH=CHCl into the chambers and recording spectra for different concentrations of *cis*-CF<sub>3</sub>CH=CHCl. The IR spectra obtained at Ford and CCAR were in good agreement (within 5%). Below and in the following sections, we proceed using the spectrum obtained at Ford. Figure 4 shows the IR spectrum of *cis*-CF<sub>3</sub>CH=CHCl recorded in 700 Torr air diluent at 296 ± 1 K. As seen from the inset in Figure 4 the intensity of the absorption features increased linearly with the *cis*-CF<sub>3</sub>CH=CHCl concentration. The integrated absorption cross-section of *cis*-CF<sub>3</sub>CH=CHCl (600–2000 cm<sup>-1</sup>) is  $(1.48 \pm 0.07) \times 10^{-16} \text{ cm molecule}^{-1}$ . Gierczak et al. [12] report a value of  $(1.60 \pm 0.01) \times 10^{-16} \text{ cm molecule}^{-1}$  which is consistent with our measurement within the expected combined experimental uncertainties.

### 4. Implications for atmospheric chemistry

The present work improves our understanding of the atmospheric chemistry of *cis*-CF<sub>3</sub>CH=CHCl. Cl atoms, OH radicals, and O<sub>3</sub> react with *cis*-CF<sub>3</sub>CH=CHCl with rate coefficients of  $(6.26 \pm 0.84) \times 10^{-11}$ ,  $(8.45 \pm 1.52) \times 10^{-13}$ , and  $(1.53 \pm 0.12) \times 10^{-21} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ , respectively. Organic compounds are removed from the atmosphere via photolysis, wet and dry deposition, and gas-phase reaction with OH radicals, O<sub>3</sub>, and Cl atoms. *cis*-CF<sub>3</sub>CH=CHCl does not absorb at wavelengths greater than 200 nm and is volatile and hence will not be lost via photolysis or wet or dry deposition to any appreciable extent [14]. The value of  $k(\text{OH} + \text{cis-CF}_3\text{CH=CHCl})$  derived in the present work can be used to provide an estimate of the atmospheric lifetime of *cis*-CF<sub>3</sub>CH=CHCl. Using a global tropospheric 24 h average OH concentration of  $1.0 \times 10^6 \text{ molecule cm}^{-3}$  [15] gives an estimated lifetime with respect to reaction with OH radicals of 14 days. The approximate nature of this lifetime should be stressed. The average daily concentration of OH radicals in the atmosphere varies significantly with location and season [16] and local atmospheric lifetimes of *cis*-CF<sub>3</sub>CH=CHCl will vary similarly.

Our value for  $k(\text{O}_3 + \text{cis-CF}_3\text{CH=CHCl})$  can be combined with the global background concentration of O<sub>3</sub> of approximately 35 ppb



[17] to provide an estimate of the atmospheric lifetime of *cis*-CF<sub>3</sub>CH=CHCl with respect to reaction with O<sub>3</sub> of 24 years, which is clearly of minor importance compared to the OH reaction pathway. Reaction with Cl atoms is a negligible fate for *cis*-CF<sub>3</sub>CH=CHCl as the atmospheric concentration of Cl atoms is generally low [17]. Assuming a global average Cl atom concentration of 10<sup>3</sup> cm<sup>-3</sup> we derive a lifetime with respect to Cl atom reaction of 6 months. We proceed on the assumption that the atmospheric lifetime of *cis*-CF<sub>3</sub>CH=CHCl is dictated by reaction with OH radicals and is approximately 14 days.

The radiative efficiency for *cis*-CF<sub>3</sub>CH=CHCl calculated using the method of Pinnock et al. [18] and the IR spectrum in Figure 4 was 0.19 W m<sup>-2</sup> ppb<sup>-1</sup>. For short-lived compounds, such as *cis*-CF<sub>3</sub>CH=CHCl, non-uniform horizontal and vertical mixing in the atmosphere need to be taken into account. Hodnebrog et al. [19] provide a correction factor of relative efficiencies for very short-lived compounds (Eq. (II)) that accounts for non-uniform horizontal and vertical mixing:

$$f(\tau) = \frac{a\tau^b}{1 + c\tau^d} \quad (\text{II})$$

where  $\tau$  is the lifetime of *cis*-CF<sub>3</sub>CH=CHCl,  $a$ ,  $b$ ,  $c$ , and  $d$  are constants with values of 2.962, 0.9312, 2.994, and 0.9302, respectively. Using  $\tau = 0.038$  years gives  $f(\tau) = 0.122$ . Hence we arrive at a final value of *cis*-CF<sub>3</sub>CH=CHCl of 0.0231 W m<sup>-2</sup> ppb<sup>-1</sup>.

Using Eq. (III) the global warming potential (GWP) can be calculated:

$$\text{GWP}(x(t')) = \frac{\int_0^{t'} F_x \exp(-t/\tau_x) dt}{\int_0^{t'} F_{\text{CO}_2} R(t) dt} \quad (\text{III})$$

where  $F_{\text{CO}_2}$  is the radiative efficiency of CO<sub>2</sub>,  $R(t)$  is the response function that describes the decay of an instantaneous pulse of CO<sub>2</sub>,  $F_x$  is the radiative efficiency of *cis*-CF<sub>3</sub>CH=CHCl, and  $\tau_x$  is its atmospheric lifetime. The denominator in Eq. (III) is the absolute global warming potential (AGWP) for CO<sub>2</sub>. Using the IPCC AR5  $F_{\text{CO}_2}$  of 1.7517 × 10<sup>-15</sup> W m<sup>-2</sup> kg<sup>-1</sup> [20] with the time-integrated airborne CO<sub>2</sub> fractions evaluated by Joos et al. [21] for different time horizons, we arrive at AGWPs for CO<sub>2</sub> of 0.194, 0.715 and 2.512 W m<sup>-2</sup> ppb<sup>-1</sup> for 20, 100, and 500 year time horizons, respectively.

Using the radiative efficiency of 0.0231 W m<sup>-2</sup> ppb<sup>-1</sup> and atmospheric lifetime of 14 days, GWP values for *cis*-CF<sub>3</sub>CH=CHCl are estimated to 2, 0, and 0, for 20, 100, and 500 year horizons respectively. Gierczak et al. report a GWP<sub>100</sub> value of approximately 3 [12] which is consistent with our result. *cis*-CF<sub>3</sub>CH=CHCl has a negligible GWP and will not make a significant contribution to radiative forcing of climate change. Patten and Wuebbles [22] conducted a modeling study and derived an ozone depleting potential (ODP) for *trans*-CF<sub>3</sub>CH=CHCl of 0.00034. The atmospheric lifetime of

*cis*-CF<sub>3</sub>CH=CHCl is approximately half that of the *trans* isomer and hence the ODP for *cis*-CF<sub>3</sub>CH=CHCl will be even lower than for *trans*-CF<sub>3</sub>CH=CHCl. We conclude that *cis*-CF<sub>3</sub>CH=CHCl will make negligible contributions to stratospheric ozone depletion and to radiative forcing of climate change.

## Acknowledgements

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March 9, 2017

To: Whom It May Concern

From: William P. L. Carter  
Consultant in Atmospheric Chemistry and Reactivity, and  
Research Chemist, Emeritus  
College of Engineering Center for Environmental Research and Technology  
University of California, at Riverside

Re: Estimation of Ground Level Atmospheric Ozone Impacts of *Cis* 1-Chloro-3,3,3-Trifluoropropene

#### Background

Ozone in photochemical smog is formed from the gas-phase reactions of volatile organic compounds (VOCs) and oxides of nitrogen ( $\text{NO}_x$ ) in sunlight, and control of both VOCs and  $\text{NO}_x$  is required to attain air quality standards for ground-level ozone. Many different types of VOCs are emitted into the atmosphere, each reacting with different rates and mechanisms and therefore with different impacts on ground level ozone formation. In recognition of this, the U.S. EPA has exempted from regulations as VOC ozone precursors certain volatile organic compounds whose ozone impacts were expected to be less than ethane (Dimitriadis, 1999; RRWG, 1999a, EPA, 2005). In addition, California has adopted regulations with reactivity-based adjustments for several types of VOC sources (CARB 1993, 2000). Although ozone impacts can be quantified in different ways (RRWG, 1999; Hales, 2007), the most commonly used method is the "incremental reactivity" of the compound, which is the amount of additional ozone formed when a small amount of a VOC is emitted into a standard environment, divided by the amount emitted (Carter and Atkinson, 1989). Although incremental reactivities will depend on the environment where the compound is emitted, the Maximum Incremental Reactivity (MIR) scale developed by the author (Carter, 1994, 2010) represents the impacts under conditions where ozone is most sensitive to VOC emissions, and is used in the current reactivity-based regulations implemented in California. This scale, together with other reactivity scales developed by the author (Carter, 1994, 2010), has also been used by the U.S. EPA as a basis of comparing the ozone impacts of compounds relative to ethane for the purpose of making VOC exemption decisions.

Halogenated propenes such as *cis* and *trans* 1-chloro-3,3,3-trifluoropropene ( $\text{CF}_3\text{CH}=\text{CHCl}$ ) are compounds of interest whose use and manufacture may result in their being emitted into the atmosphere, where they may react to promote ground-level ozone formation. This will result in these compounds being subject to VOC regulations aimed at reducing ozone formation, which may adversely impact their production costs and marketability. Because of the interest in *trans* 1-chloro-3,3,3-trifluoropropene, we previously carried out an experimental and modeling study of the ozone impacts of this compound, and the results are described in a detailed report that is available online (Carter, 2009a). Briefly, this work consisted of deriving an atmospheric reaction mechanism for this compound based on available data in the literature and various estimates, conducting environmental chamber experiments to test and verify the predictive capability of the mechanism with respect to factors affecting ozone formation, and using the

mechanism in models used to calculate the MIR and other reactivity scales to calculate its ozone impact, and comparing the results with those for ethane and other compounds that were previously calculated and used in regulatory applications. The average ratio of mass-based incremental reactivities relative to ethane for all the box model scenarios was found to be  $0.19 \pm 0.03$  and the MIR ratio was  $0.16 \pm 0.02$ , where the uncertainty ranges reflect the variability among the various model scenarios. It is concluded that if ethane is used as the standard to define “negligible” ozone impact for the purpose of determining VOC exemptions for ozone precursors, then *trans* 1-chloro-3,3,3-trifluoropropene will meet this standard. *Trans* 1-chloro-3,3,3-trifluoropropene was also found to have no significant effect on particle formation in the incremental reactivity chamber experiments

#### Ozone Impact of *Cis* 1-Chloro-3,3,3-Trifluoropropene

We have not previously studied the ozone impact of the *cis* isomer of this compound, which is also of interest. Although we expect the mechanisms for the atmospheric reactions for the two isomers to be very similar, with the expected radical intermediates and oxidation products formed when they react being essentially the same, there are differences in how fast they react in the atmosphere, and this will affect their ozone impacts. The main initial atmospheric reaction of these halopropenes is reaction with the OH radical. Reaction with ozone also needs to be considered when assessing ozone impacts of unsaturated compounds, but the measured rate constants for these halopropenes are too low for this to be an important loss process for them in the atmosphere. The available information concerning these rate constants is as follows (with rate constants given in units of  $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ):

Isomer	OH Reaction	O <sub>3</sub> Reaction	Reference
Trans	$4.40 \times 10^{-13}$	$1.46 \times 10^{-21}$	Sulbaek Andersen et al (2008)
	$3.61 \times 10^{-13}$		Löffler Andersen et al (2015)
Cis	$8.45 \times 10^{-13}$	$1.53 \times 10^{-21}$	Löffler Andersen et al (2015)

Our previous study of the *trans* isomer (Carter, 2009a) used the earlier OH rate constant of Sulbaek Andersen et al (2008), which is slightly higher than the more recent measurement of Löffler Andersen et al (2015) that included corrections for effects of unwanted Cl chemistry. Therefore, if we were to recalculate the reactivity values for the *trans* isomer using the newer rate constant, they would be slightly lower, but the conclusion that it is much less reactive than ethane would obviously not be affected.

For relatively slowly reacting compounds such as these halopropenes, the amount they react in the one-day scenarios to calculate the MIR and other Carter reactivity scales (Carter, 1994, 2010) is approximately proportional to how fast they react, which for these compounds is determined by their OH rate constant. This means that if all other aspects of the mechanisms are similar, as is expected to be the case if the only difference is the *cis* vs. *trans* configuration, then the ratios in the reactivities would be approximately proportional to the ratios in their OH rate constants. Since the *cis* isomer has an OH rate constant that is 1.9 times higher than used by Carter (2009) to calculate the reactivities of the *trans* isomer, then the reactivities for the *cis* isomer should be approximately 1.9 times those given by Carter (2009) for the *trans* isomer, multiplied by 1.9. That means that we estimate that the ozone impact of the *cis* isomer relative to ethane for all the box model scenarios to be  $0.36 \pm 0.06$  and the MIR ratio to be  $0.30 \pm 0.04$ . Although the ozone impact for the *cis* isomer is estimated to be about twice that for the *trans* isomer, it is still about three times less than that for ethane on a mass basis.



## Conclusions

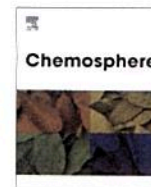
Although we did not conduct environmental chamber experiments to test the ability of mechanism we previously developed for the *trans* isomer to predict the reactivity of the *cis* isomer if the increased OH rate constant is used, we have every reason to expect that the results will be similar to those we obtained when testing mechanisms for the three other halopropenes we have studied experimentally (Carter 2009a-c). It is therefore concluded that if ethane is used as the standard to define “negligible” ozone impact for the purpose of determining VOC exemptions for ozone precursors, then *cis* 1-chloro-3,3,3-trifluoropropene will meet this standard.

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# Atmospheric chemistry of short-chain haloolefins: Photochemical ozone creation potentials (POCPs), global warming potentials (GWPs), and ozone depletion potentials (ODPs)

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## HIGHLIGHTS

- Commercially relevant short-chain haloolefins will be released into the atmosphere.
- Haloolefins have short atmospheric lifetimes (a few days or weeks).
- Short-chain haloolefins degrade in the atmosphere giving HF, HCl, CO<sub>2</sub> and CF<sub>3</sub>C(O)OH.
- The degradation products do not pose a significant risk to ecosystems.

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## ABSTRACT

Short-chain haloolefins are being introduced as replacements for saturated halocarbons. The unifying chemical feature of haloolefins is the presence of a  $>C=C<$  double bond which causes the atmospheric lifetimes to be significantly shorter than for the analogous saturated compounds. We discuss the atmospheric lifetimes, photochemical ozone creation potentials (POCPs), global warming potentials (GWPs), and ozone depletion potentials (ODPs) of haloolefins. The commercially relevant short-chain haloolefins CF<sub>3</sub>CF=CH<sub>2</sub> (1234yf), *trans*-CF<sub>3</sub>CH=CHF (1234ze(Z)), CF<sub>3</sub>CF=CF<sub>2</sub> (1216), *cis*-CF<sub>3</sub>CH=CHCl (1233zd(Z)), and *trans*-CF<sub>3</sub>CH=CHCl (1233zd(E)) have short atmospheric lifetimes (days to weeks), negligible POCPs, negligible GWPs, and ODPs which do not differ materially from zero. In the concentrations expected in the environment their atmospheric degradation products will have a negligible impact on ecosystems. CF<sub>3</sub>CF=CH<sub>2</sub> (1234yf), *trans*-CF<sub>3</sub>CH=CHF (1234ze(Z)), CF<sub>3</sub>CF=CF<sub>2</sub> (1216), *cis*-CF<sub>3</sub>CH=CHCl (1233zd(Z)), and *trans*-CF<sub>3</sub>CH=CHCl (1233zd(E)) are environmentally acceptable.

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## 1. Introduction

Recognition of the adverse environmental impact of chlorofluorocarbon (CFC) release into the atmosphere (Molina and Rowland, 1974; Farman et al., 1985) has led to a sustained international effort to replace these compounds with environmentally acceptable alternatives. Short-chain haloolefins have recently been developed to replace CFCs and saturated hydrofluorocarbons in air conditioning units (Brown, 2009). For example, CF<sub>3</sub>CF=CH<sub>2</sub> (HFO-1234yf) is under consideration as a replacement for CF<sub>3</sub>CHF<sub>2</sub> (HFC-134a) in vehicle air conditioning units (Brown, 2009).

As listed in Table 1, *trans*-CF<sub>3</sub>CH=CHF (HFO-1234ze(E)), CF<sub>3</sub>CF=CF<sub>2</sub> (FO-1216), *cis*-CF<sub>3</sub>CH=CHCl (HCFO-1233zd(Z)), and *trans*-CF<sub>3</sub>CH=CHCl (HCFO-1233zd(E)) are also finding commercial applications. These haloalkenes are volatile and hydrophobic and following release into the environment will partition into the atmosphere. The log<sub>K<sub>ow</sub></sub> values for HFO-1234ze(E), HFO-1234yf, and HCFO-1233zd(E) of 1.6, 2.0, and 2.2, respectively (Singh, 2014), indicate that haloalkenes are not bioaccumulative (Bintein et al., 1993).

Prior to their large-scale industrial use an assessment of the atmospheric chemistry, and hence environmental impact, of haloolefins is needed. There is now a substantial database in the literature on this subject. We discuss the atmospheric chemistry of commercially relevant short-chain haloolefins. Four potential environmental impacts need to be considered: (i) tropospheric

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Table 1

Commercial applications of haloolefins.

Haloolefin	Applications
CF <sub>3</sub> –CF=CH <sub>2</sub> (HFO-1234yf)	Refrigerant in vehicle AC systems
<i>trans</i> -CF <sub>3</sub> –CH=CHF (HFO-1234ze(E))	Aerosol propellant, in expanded polystyrene (styrofoam) insulation industry, refrigerant
CF <sub>3</sub> –CF=CF <sub>2</sub> (HFO-1216)	Co-monomer for FEP polymer, raw material for certain routes to make 1234yf
Z-CF <sub>3</sub> –CH=CHCl (HCFO-1233zd(Z))	Degreasing of mechanical parts and dry cleaning
E-CF <sub>3</sub> –CH=CHCl (HCFO-1233zd(E))	Polyurethane foam blowing agent gas when the insulation performance is critical, spray foam for building roof insulation

ozone formation, (ii) climate change, (iii) stratospheric ozone loss, and (iv) formation of noxious or toxic degradation products. For illustrative purposes, we provide detailed information for the atmospheric chemistry of CF<sub>3</sub>CF=CH<sub>2</sub>, highlighted above, and draw comparisons to analogous compounds within this particular chemical group and beyond.

## 2. Atmospheric lifetimes

Halocarbons are removed from the atmosphere by two general mechanisms; reaction with OH radicals and photolysis. Haloolefins are the subset of halocarbons which contain a >C=C< double bond. The reaction of OH radicals with >C=C< double bonds is very rapid and as a result dominates the atmospheric removal mechanism for haloolefins. The inclusion of one, or more, double bonds is a particularly effective method to increase the reactivity of organic molecules towards OH radicals. As an illustration we can compare the reactivity of CF<sub>3</sub>CF<sub>2</sub>CH<sub>3</sub> (HFC-245cb) and CF<sub>3</sub>CF=CH<sub>2</sub> (HFO-1234yf). With respect to reaction with OH radicals CF<sub>3</sub>CF=CH<sub>2</sub> is approximately 2000 times more reactive than CF<sub>3</sub>CF<sub>2</sub>CH<sub>3</sub> (WMO, 2011). Haloalkenes react with other gas phase oxidants present in the atmosphere such as O<sub>3</sub>, Cl atoms, and NO<sub>3</sub> radicals but these reactions are of limited significance compared to that with OH radicals.

Uniform mixing of a gas in the atmosphere requires a time scale of years. The lifetimes of haloolefins are of the order of days and hence following their release they will not be well mixed in the atmosphere. For short lived compounds such as the haloolefins it is not possible to assign a unique atmospheric lifetime. The lifetimes depend on the location of emissions and the chemical and physical conditions of the atmosphere. The atmospheric lifetime of haloolefins emitted in the winter at high latitudes where there is little photochemical activity will be substantially longer than when emitted in the summer in the tropics. Nevertheless, it is useful to assign an approximate atmospheric lifetime to short lived species using representative average OH radical concentrations. For species with lifetimes <1 d an average daytime [OH] of 2.5 × 10<sup>6</sup> cm<sup>−3</sup> is used together with the rate coefficient at 298 K (Calvert et al., 2011). For species with lifetimes between 1 d and 1 year a diurnally averaged [OH] of 1.0 × 10<sup>6</sup> cm<sup>−3</sup> is used with the OH rate coefficient at 298 K (Calvert et al., 2011). For species with lifetimes >1 year mixing throughout the troposphere is assumed with an average [OH] of 1.0 × 10<sup>6</sup> cm<sup>−3</sup> together with the OH rate coefficient at 272 K (Calvert et al., 2011).

The room temperature rate coefficients for reactions of OH radicals with the commercially significant haloolefins considered here are in the range (0.4–2.0) × 10<sup>−12</sup> cm<sup>3</sup> molecule<sup>−1</sup> s<sup>−1</sup>. The concentration of OH in the troposphere is highly variable but averages approximately 1.0 × 10<sup>6</sup> cm<sup>−3</sup>. Combining the OH rate coefficient with the OH radical concentration provides a pseudo first order loss rate of approximately (0.4–2.0) × 10<sup>−6</sup> s<sup>−1</sup> or a lifetime range of approximately 6–30 d.

As seen from Table 2, haloolefins have longer atmospheric lifetimes than the corresponding alkenes but shorter lifetimes than the corresponding haloalkanes. These trends reflect the

electrophilic addition of OH radicals to >C=C< double bonds. The electron withdrawing effect of the halogen atoms in haloolefins results in a reduction in reactivity compared to the alkenes. The presence of the reactive >C=C< double bond in haloolefins results in much lower lifetimes for these compounds than for haloalkanes. For example, CF<sub>3</sub>CF<sub>2</sub>CH<sub>3</sub> (HFC-245cb) has a lifetime of 47.1 years while CF<sub>3</sub>CFCH<sub>2</sub> (HFC-1234yf) has a lifetime of 10.5 d.

## 3. Photochemical ozone creation potentials (POCPs)

The photochemical ozone creation potentials (POCPs) for a range of HFOs have been evaluated by Wallington et al. (2010) using the method outlined by Derwent et al. (1998) and Jenkin (1998). POCP is defined as the additional ozone formed in a multi-day modeling when adding a given amount of volatile organic compound relative to adding the same mass of ethene (Derwent et al., 1996). The POCP scale is relative with the POCP for ethene defined as 100. POCP values are determined over a period of approximately 5 d along an idealized straight line trajectory using a photochemical trajectory model. Running a photochemical trajectory model is challenging and is not a practical option for non-specialists in the field. Derwent et al. (1998) and Jenkin (1998) developed a simplified estimation procedure which uses fundamental molecular properties (molecular weight, number of reactive bonds, and rate coefficient for reaction with OH radicals) to provide estimated POCPs using the expression:

$$\epsilon^{\text{POCP}} = \alpha_1 \times \gamma_s \times \gamma_R^\beta (1 - \alpha_2 \times n_c) \quad (1)$$

where  $\epsilon^{\text{POCP}}$  is the estimated POCP;  $\alpha_1$ ,  $\alpha_2$ , and  $\beta$  are constants;  $\gamma_s$  is the structure based ozone formation index,  $\gamma_R$  is the reactivity based ozone formation index, and  $n_c$  is the carbon number of the compound. The structure and reactivity based ozone formation indices are further defined as:

$$\gamma_s = (n_B/M) \times (28/6) \quad (2)$$

$$\gamma_R = (k_{\text{OH}}/n_B) \times (6/k_{\text{OH}}^{\text{ethene}}) \quad (3)$$

where  $n_B$  is the total number of C–C and C–H bonds in the molecule,  $M$  is the molecular weight,  $k_{\text{OH}}$  is the rate coefficient for reaction with OH radicals at 298 K and 760 Torr of air, and  $k_{\text{OH}}^{\text{ethene}}$  is the rate coefficient for reaction of ethene with OH radicals at 298 K and 760 Torr of air (8.64 × 10<sup>−12</sup> cm<sup>3</sup> molecule<sup>−1</sup> s<sup>−1</sup>, Jenkin (1998)).

POCPs for the commercially relevant haloolefins are listed in Table 2. As an example of the calculations, consider the case of Z-CF<sub>3</sub>CH=CHCF<sub>3</sub> (HFO-1336Z) which has a molecular weight of 164, has 4 reactive bonds ( $n_B = 4$ ), and reacts with OH radicals with a rate coefficient at 298 K of 4.9 × 10<sup>−13</sup> cm<sup>3</sup> molecule<sup>−1</sup> s<sup>−1</sup> (Baasandorj et al., 2011). Substituting these values into Eqs. (2) and (3) gives  $\gamma_s = 0.114$  and  $\gamma_R = 0.085$ . Combining the optimized parameters given in Table 2 of Jenkin (1998) with the rate coefficient for reaction of OH radicals with Z-CF<sub>3</sub>CH=CHCF<sub>3</sub> gives  $\alpha_1 = 111$ ,  $\alpha_2 = 0.04$ , and  $\beta = 0.5$ . Finally, Z-CF<sub>3</sub>CH=CHCF<sub>3</sub> has four carbon atoms and hence  $n_c = 4$ . Substituting these values into Eq. (1) gives an estimated POCP for Z-CF<sub>3</sub>CH=CHCF<sub>3</sub> of 3.1.



**Table 2**

Atmospheric lifetimes, photochemical ozone creation potentials (POCPs), global warming potentials (GWPs), ozone depletion potentials (ODPs) for haloolefins and related compounds.

Compound	Atmospheric lifetime	Radiative efficiency	POCP	GWP	ODP
<i>Alkanes-alkenes</i>					
CH <sub>2</sub> =CH <sub>2</sub>	1.4 d <sup>a</sup>	0.035	100 <sup>a,d</sup>	<1	0
CH <sub>3</sub> CH=CH <sub>2</sub>	0.44 d <sup>a</sup>	0.035 <sup>b</sup>	112.3 <sup>b</sup>	<1	0
CH <sub>3</sub> CH <sub>2</sub> CH=CH <sub>2</sub>	0.37 d <sup>a</sup>	0.035 <sup>b</sup>	107.9 <sup>b</sup>	<1	0
CH <sub>4</sub>	12.4 years <sup>c</sup>	0.000363 <sup>c</sup>	0.6	30 <sup>c</sup>	0
C <sub>2</sub> H <sub>6</sub>	45 d <sup>a</sup>	0.0032 <sup>b</sup>	12.3 <sup>b</sup>	<1	0
C <sub>3</sub> H <sub>8</sub>	10 d <sup>a</sup>	0.0031 <sup>b</sup>	17.6 <sup>b</sup>	<1	0
n-C <sub>4</sub> H <sub>10</sub>	4.7 d <sup>a</sup>	0.0047 <sup>b</sup>	35.2 <sup>b</sup>	<1	0
<i>CFCs</i>					
CF <sub>3</sub> Cl (CFC-11)	45 years <sup>c</sup>	0.26 <sup>c</sup>	0.0	4660 <sup>c</sup>	1.0 <sup>c</sup>
CF <sub>2</sub> Cl <sub>2</sub> (CFC-12)	100 years <sup>c</sup>	0.32 <sup>c</sup>	0.0	10,200 <sup>c</sup>	0.82 <sup>c</sup>
<i>HFCs</i>					
CH <sub>2</sub> F <sub>2</sub> (HFC-32)	5.2 years <sup>d</sup>	0.11 <sup>e</sup>	0.2 <sup>b</sup>	677 <sup>b</sup>	0
CF <sub>3</sub> CHF <sub>2</sub> (HFC-125)	28.2 years <sup>b</sup>	0.23 <sup>b</sup>	0.0 <sup>b</sup>	3170 <sup>b</sup>	0
CF <sub>3</sub> CH <sub>3</sub> (HFC-143a)	47.1 years <sup>d</sup>	0.16 <sup>b</sup>	0.0 <sup>b</sup>	4800 <sup>b</sup>	0
CF <sub>3</sub> CH <sub>2</sub> F (HFC-134a)	13.4 years <sup>e</sup>	0.16 <sup>b</sup>	0.1 <sup>b</sup>	1300 <sup>b</sup>	0
CHF <sub>2</sub> CH <sub>3</sub> (HFC-152a)	1.5 years <sup>c</sup>	0.10 <sup>b</sup>	1.0 <sup>b</sup>	124 <sup>b</sup>	0
CF <sub>3</sub> CHFCF <sub>3</sub> (HFC-227ea)	38.9 years <sup>c</sup>	0.27 <sup>b</sup>	0.0 <sup>b</sup>	3350 <sup>b</sup>	0
CF <sub>3</sub> CH <sub>2</sub> FCHF (HFC-245eb)	3.1 years <sup>c</sup>	0.20 <sup>b</sup>	0.2 <sup>b</sup>	290 <sup>b</sup>	0
<i>HFOs</i>					
CF <sub>2</sub> =CH <sub>2</sub> (HFO-1132a)	4.0 d <sup>d</sup>	0.00 <sup>e</sup>	18.0 <sup>f</sup>	<1 <sup>g</sup>	0
CF <sub>2</sub> =CF <sub>2</sub> (HFO-1114)	1.1 d <sup>b</sup>	0.00 <sup>e</sup>	12.5 <sup>f</sup>	<1 <sup>g</sup>	0
CF <sub>3</sub> CH=CH <sub>2</sub> (HFO-1243zf)	7.0 d <sup>b</sup>	0.01 <sup>h</sup>	10.7 <sup>f</sup>	<1 <sup>g</sup>	0
CF <sub>3</sub> CFH=CH <sub>2</sub> (HFO-1234yf)	10.5 d <sup>c</sup>	0.02 <sup>g</sup>	7.0 <sup>f</sup>	<1 <sup>g</sup>	0
CF <sub>3</sub> CF=CF <sub>2</sub> (HFO-1216)	4.9 d <sup>b</sup>	0.01 <sup>g</sup>	5.4 <sup>f</sup>	<1 <sup>g</sup>	0
Z-CF <sub>3</sub> CF=CHF (HFO-1225ye(Z))	8.5 d <sup>b</sup>	0.02 <sup>g</sup>	5.6 <sup>f</sup>	<1 <sup>g</sup>	0
E-CF <sub>3</sub> CF=CHF (HFO-1225ye(E))	4.9 d <sup>b</sup>	0.01 <sup>g</sup>	7.3 <sup>f</sup>	<1 <sup>g</sup>	0
CF <sub>3</sub> CF <sub>2</sub> CH=CH <sub>2</sub> (HFO-1345zfc)	7.6 d <sup>b</sup>	0.01 <sup>g</sup>	6.6 <sup>f</sup>	<1 <sup>g</sup>	0
t-CF <sub>3</sub> CH=CHF (HFO-1234ze(E))	16.4 d <sup>b</sup>	0.04 <sup>g</sup>	6.4 <sup>f</sup>	<1 <sup>g</sup>	0
Z-CF <sub>3</sub> CH=CHCF <sub>3</sub> (HFO-1336(Z))	22.0 d <sup>b</sup>	0.07 <sup>g</sup>	3.1 <sup>f</sup>	2 <sup>g</sup>	0
<i>HCFOs</i>					
E-CF <sub>3</sub> CH=CHCl (HCFO-1233zd(E))	26 d <sup>c</sup>	0.04 <sup>h</sup>	3.9	1 <sup>h</sup>	0.00034 <sup>i</sup>
Z-CF <sub>3</sub> CH=CHCl (HCFO-1233zd(Z))	12 d <sup>i</sup>	0.02 <sup>i</sup>	6.4	<1 <sup>i</sup>	<0.00034 <sup>i</sup>

<sup>a</sup> Calvert et al. (2008).

<sup>b</sup> Highwood et al. (1999).

<sup>c</sup> By definition.

<sup>d</sup> Derwent et al. (1998).

<sup>e</sup> IPCC (2013).

<sup>f</sup> WMO (2011).

<sup>g</sup> Hodnebrog et al. (2013).

<sup>h</sup> Hayman and Derwent (1997).

<sup>i</sup> Wallington et al. (2010).

<sup>j</sup> Estimated using the method described by Jenkin (1998) with the following  $k(\text{OH})$  data: Z-CF<sub>3</sub>CH=CHCF<sub>3</sub> (HFO-1336(Z)),  $4.91 \times 10^{-13}$  (Baasandorj et al., 2011); E-CHCl=CHCF<sub>3</sub> (HCFO-1233zd(E)),  $4.4 \times 10^{-13}$  (Sulbaek Andersen et al., 2008); Z-CHCl=CHCF<sub>3</sub> (HCFO-1233zd(Z)),  $9.4 \times 10^{-13}$  (Andersen et al., 2014).

<sup>k</sup> Patten and Wuebbles (2010), see Section 5.

<sup>l</sup> Andersen et al. (2014).

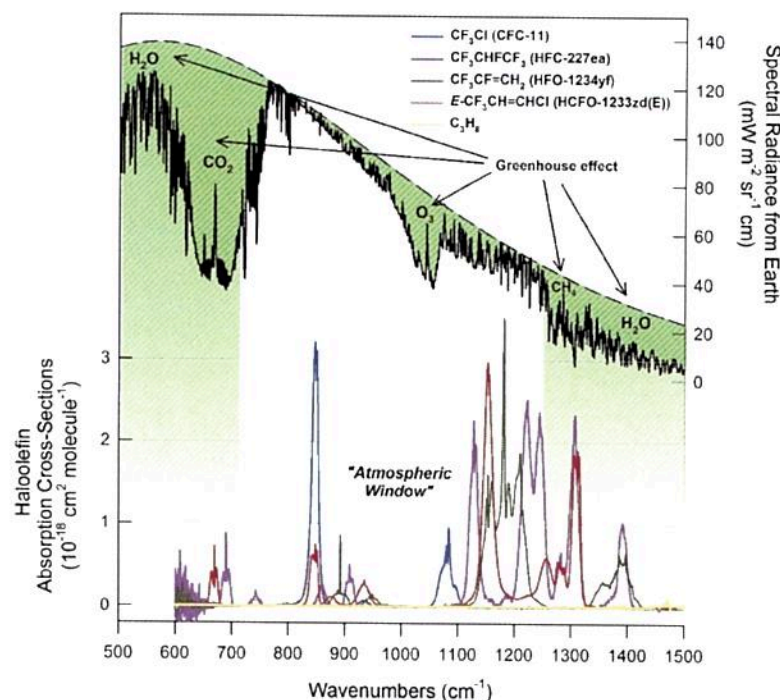
As seen from Table 2, haloolefins have POCPs which are larger than those for analogous HFCs but much smaller than those for the parent alkenes. Haloolefins have POCPs which lie between those for methane (0.6) and ethane (12.3). Methane and ethane are oxidized sufficiently slowly that they do not contribute to any appreciable degree to local air quality issues and are generally exempt from air quality regulations (Dimitriadis, 1999). Carter (2009) conducted Maximum Incremental Reactivity (MIR) calculations for CF<sub>3</sub>CF=CHF and found that ozone production CF<sub>3</sub>CF=CHF is indistinguishable from ethane (C<sub>2</sub>H<sub>6</sub>). Luecken et al. (2010) conducted an atmospheric modeling study and reported that replacing HFC-134a in vehicle air conditioning units with HFO-1234yf across the U.S. had essentially no impact (<0.01%) on tropospheric ozone formation. We conclude that the commercially relevant haloolefins will not make a significant contribution to tropospheric ozone formation.

We note that the POCP values for haloolefins given in Table 2 are estimated values. From the data presented by Derwent et al. (1998) and Jenkin (1998) we expect that estimated POCPs will

not be materially different from those calculated in a more rigorous modeling approach. However, it would be useful to incorporate a detailed description of the atmospheric oxidation mechanisms of these haloolefins into a photochemical trajectory model and compare the results from such a rigorous analysis with the estimates provided here.

#### 4. Global warming potentials (GWPs)

Haloolefins contain C–F bonds which absorb in the atmospheric window region and have the potential to contribute to radiative forcing of climate change (see Fig. 1). The radiative efficiency of a molecule reflects its heat trapping ability in the atmosphere, has units of W m<sup>-2</sup> ppb<sup>-1</sup>, and can be calculated using a radiative transfer model. As seen from Table 2, the estimated radiative efficiencies (REs) for the haloolefins are considerably smaller than the CFCs and HFCs they replace. The radiative efficiencies of haloolefins are low because of their non-uniform horizontal and vertical mix-



**Fig. 1.** The net upward atmospheric radiance spectrum at the tropopause (solid black trace), overlaid with the ideal Planck function for a blackbody emissions at 290 K (black dashed line). The presence of naturally occurring major greenhouse gases in the atmosphere ( $\text{CO}_2$ ,  $\text{H}_2\text{O}$ ,  $\text{O}_3$  and  $\text{CH}_4$ ) produces attenuation of the outgoing radiation resulting in a non-ideal Planck curve (i.e., the “natural greenhouse gas effect”). The IR spectra for  $\text{CF}_3\text{Cl}$  (blue trace),  $\text{CF}_3\text{CHFCF}_3$  (pink trace),  $\text{CF}_3\text{CF}=\text{CH}_2$  (green trace),  $E\text{-CF}_3\text{CH}=\text{CHCl}$  (red trace), and the non-halogenated  $\text{C}_3\text{H}_8$  (yellow trace) are shown (figure adapted from Sulbaek Andersen et al. (2012b)). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

ing in the atmosphere (Hodnebrog et al., 2013). Accounting for non-uniform horizontal mixing is important because radiative forcing is most efficient at lower latitudes where temperatures are higher. Accounting for non-uniform vertical mixing is important because radiative forcing is defined as the change in radiative flux at the tropopause which is located at approximately 12–15 km altitude (see Fig. 1). Mixing of haloolefins to lower latitudes and higher altitudes is inefficient and hence as seen in Table 2 the radiative efficiencies of haloolefins are small.

The global warming potential (GWP) is the integrated radiative forcing over a given time horizon following the pulsed emission of a kg of gas compared to the integrated radiative forcing for release of a kg of  $\text{CO}_2$ . As discussed above the radiative efficiencies of haloolefins are small and the atmospheric lifetimes of haloolefins are short. These two factors combine to give haloolefins very small GWPs as seen in Table 2. The atmospheric degradation products of haloolefins could in principle contribute to radiative forcing of climate change. However, it is well established that the oxidation products of haloolefins are removed from the atmosphere by wet and dry deposition on a time scale of days to weeks (Wallington et al., 1994). Haloolefins will not make any significant contribution to radiative forcing of climate change.

## 5. Ozone depletion potentials (ODPs)

In contrast to chlorine and bromine, fluorine does not participate in catalytic ozone destruction cycles (Ravishankara et al., 1994; Wallington et al., 1995). Hence, hydrofluoroolefins do not deplete stratospheric ozone and have an ozone depletion potential of zero. Chlorine and bromine containing haloolefins will contribute to stratospheric ozone depletion although the magnitude of such contribution is small because their short atmospheric lifetimes limit the fraction of the emissions which survive transport

through the troposphere to the stratosphere. Patten and Wuebbles (2010) conducted a modeling study and derived an ODP for  $E\text{-CF}_3\text{CH}=\text{CHCl}$  of 0.00034. The Z-isomer of  $\text{CF}_3\text{CH}=\text{CHCl}$  has an atmospheric lifetime which is approximately half that of the E-isomer (Andersen et al., 2014) and hence the ODP for Z- $\text{CF}_3\text{CH}=\text{CHCl}$  will be even lower than that for  $E\text{-CF}_3\text{CH}=\text{CHCl}$ . Patten and Wuebbles (2010) concluded that at the concentrations likely to be emitted  $E\text{-CF}_3\text{CH}=\text{CHCl}$  is unlikely to affect stratospheric ozone. Haloolefins have ODPs which are zero, or near zero, and will not impact stratospheric ozone.

## 6. Formation of noxious or toxic degradation products

Oxidation of haloolefins is initiated by addition of OH to the double bond giving a  $\beta$ -hydroxy alkyl radical which in one atmosphere of air will rapidly (within  $10^{-6}$  s) add  $\text{O}_2$  to give a  $\beta$ -hydroxy alkylperoxy radical. The peroxy radicals are converted by reaction with NO into alkoxy radicals which are converted into halogenated carbonyl compounds via either unimolecular decomposition, or reaction with  $\text{O}_2$ . As an example, Fig. 2 shows the oxidation mechanism for  $\text{CF}_3\text{CF}=\text{CH}_2$ . Halogenated carbonyl compounds are removed from the atmosphere via wet and dry deposition on a time scale of days to weeks (Wallington et al., 1994). Hydrolysis of halogenated carbonyl compounds gives acid and  $\text{CO}_2$  products. Trifluoroacetic acid ( $\text{CF}_3\text{COOH}$ ) is formed either through hydrolysis of carbonyl oxidation products or via secondary photochemistry. For example, the atmospheric fate of  $\text{CF}_3\text{C}(\text{O})\text{F}$ , formed in the oxidation of  $\text{CF}_3\text{CF}=\text{CH}_2$ , is hydrolysis which occurs on a time scale of approximately 10 d to give  $\text{CF}_3\text{C}(\text{O})\text{OH}$  (Wallington et al., 1994).  $\text{CF}_3\text{CHO}$ , which is formed in the oxidation of  $\text{CF}_3\text{CH}=\text{CH}_2$ , undergoes photolysis (lifetime of  $\leq 2$  d) giving  $\text{CF}_3$  and  $\text{HCO}$  radicals while reaction with OH, which is of lesser importance, but also represents a sink for  $\text{CF}_3\text{CHO}$ , gives  $\text{CF}_3\text{CO}$  radicals (Sulbaek



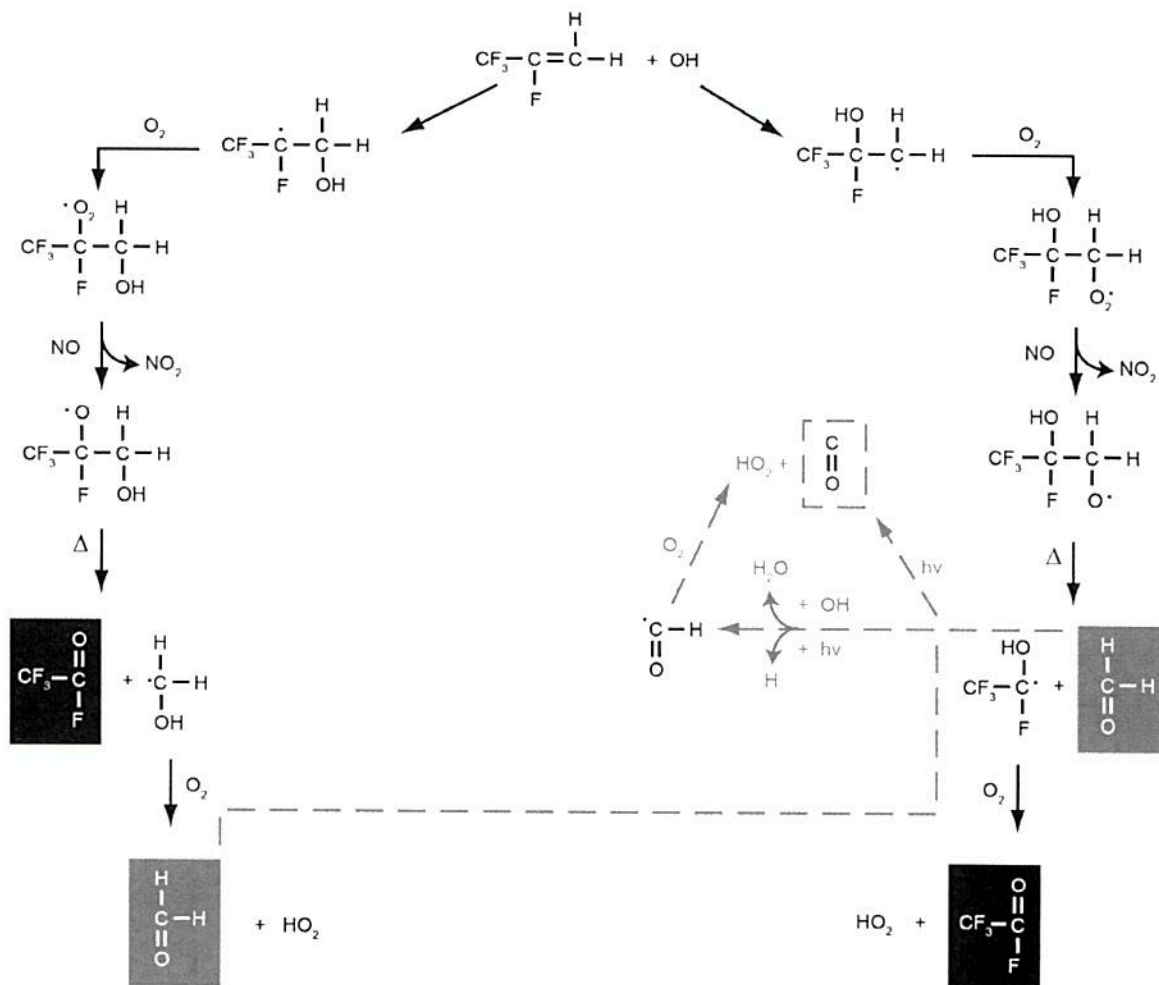


Fig. 2. Atmospheric degradation mechanism for  $\text{CF}_3\text{CF}=\text{CH}_2$ .

Andersen et al., 2004). Atmospheric degradation routes by which  $\text{CF}_3\text{CO}$  radicals can be transformed into  $\text{CF}_3\text{COOH}$  (TFA) as a minor product have been documented (Hurley et al. 2006).

In the case of HCFOs, chlorine substituted oxidation products are expected to be formed, including  $\text{HCOCl}$ . The atmospheric fate of the oxidation product  $\text{HCOCl}$  is incorporation into rain, cloud and fog water followed by hydrolysis and removal by wet deposition, within 5–15 d. Hydrolysis of  $\text{HCOCl}$  gives formic acid which is a ubiquitous component of the environment and is of no concern. For the HCFOs there is also evidence of a primary oxidation channel leading to chlorine atom elimination and formation of an enol,  $\text{CF}_3\text{CH}=\text{CHOH}$  (Sulbaek Andersen et al., 2012a). The atmospheric fate of the enol is likely reaction with  $\text{OH}$  (directly, or indirectly via reaction with the tautomer form) to yield  $\text{CF}_3\text{CHO}$ ,  $\text{HCHO}$  and  $\text{HCOOH}$ . The intermediate and final atmospheric degradation products of the commercially significant haloolefins are listed in Table 3.

Assuming that global emissions of haloolefins are of the order of 100 kt per year (i.e., similar to current hydrofluorocarbon emissions), an empirical formula of  $-\text{CHX}-$  ( $\text{X} = \text{F}$  or  $\text{Cl}$ ) for these compounds, uniform distribution in the atmosphere, and annual global precipitation of  $4.9 \times 10^{17}$  L (Erchel, 1975) the level of  $\text{HF}/\text{HCl}$  expected from degradation of haloolefins would be of the order of  $10^{-9}$ – $10^{-8}$  molar. Haloolefins will not be uniformly distributed in the atmosphere and hence the regional concentrations of  $\text{HF}/$

Table 3  
Atmospheric oxidation products of commercial haloolefins.

Haloolefin	Intermediate products	Final products
$\text{CF}_3-\text{CF}=\text{CH}_2$ (HFO-1234yf)	$\text{CF}_3\text{C}(\text{O})\text{F}$ , $\text{HCHO}$	$\text{CF}_3\text{C}(\text{O})\text{OH}$ , $\text{CO}_2$ , $\text{HF}$
<i>trans</i> - $\text{CF}_3-\text{CH}=\text{CHF}$ (HFO-1234ze(E))	$\text{CF}_3\text{C}(\text{O})\text{H}$ , $\text{HC}(\text{O})\text{F}$	$\text{CO}_2$ , $\text{HC}(\text{O})\text{OH}$ , $\text{HF}$
$\text{CF}_3-\text{CF}=\text{CF}_2$ (HFO-1216)	$\text{CF}_3\text{C}(\text{O})\text{F}$ , $\text{COF}_2$	$\text{CF}_3\text{C}(\text{O})\text{OH}$ , $\text{CO}_2$ , $\text{HF}$
<i>E</i> - $\text{CF}_3-\text{CH}=\text{CHCl}$ (1233zd(E))	$\text{CF}_3\text{C}(\text{O})\text{H}$ , $\text{HC}(\text{O})\text{Cl}$ , $\text{HCl}$ , $\text{CF}_3\text{CH}=\text{CHOH}$	$\text{CO}_2$ , $\text{HF}$ , $\text{HCl}$
<i>Z</i> - $\text{CF}_3-\text{CH}=\text{CHCl}$ (1233zd(Z))	$\text{CF}_3\text{C}(\text{O})\text{H}$ , $\text{HC}(\text{O})\text{Cl}$ , $\text{HCl}$ , $\text{CF}_3\text{CH}=\text{CHOH}$	$\text{CO}_2$ , $\text{HF}$ , $\text{HCl}$

$\text{HCl}$  will be greater than the global average by perhaps an order of magnitude (i.e.,  $10^{-8}$ – $10^{-7}$  molar). The concentration of fluoride/chloride and the additional acidity in precipitation resulting from the atmospheric oxidation of haloolefins will be negligible. Trifluoroacetic acid is a persistent degradation product of haloolefins containing the  $\text{CF}_3\text{CF}=\text{}$  group (e.g.,  $\text{CF}_3\text{CF}=\text{CH}_2$ ,  $\text{CF}_3\text{CF}=\text{CHF}$ ). Its sources (natural and anthropogenic), sinks, and potential environmental effects have been reviewed by Tang et al. (1998), Solomon et al. (2003), and WMO (2007). It has been shown that trifluoroacetic acid is ubiquitous in precipitation and ocean water even in remote areas (Boutonnet et al., 1999; Berg et al., 2000;



Frank et al., 2002; Scott et al., 2005, 2006; Von Sydow et al. 2000). Frank et al. (2002) estimated that the oceans contain 268 million tonnes of trifluoroacetic acid. The natural environmental loading of trifluoroacetic acid greatly exceeds that expected from the atmospheric degradation of HFOs.

While Tromp et al. (1995) argued that trifluoroacetic acid will accumulate to high levels in seasonal wetlands, Boutonnet et al. (1999) showed that the assumptions made by Tromp et al. were highly improbable. Benesch et al. (2002) showed that trifluoroacetic acid does not adversely affect the development of soil microbial communities and pool plant species in vernal ponds. Modeling studies by Luecken et al. (2010) and Russell et al. (2012) have concluded that levels of trifluoroacetic acid resulting from extended (50 years) use of HFO-1234yf would not represent a risk to ecosystems in the U.S. With respect to trifluoroacetic acid formation from the atmospheric degradation of hydrofluorochlorocarbons (HCFCs) and hydrofluorocarbons (HFCs) it has been concluded that “trifluoroacetic acid from the degradation of HCFCs and HFCs will not result in environmental concentrations capable of significant ecosystem damage” (WMO, 2007). The same conclusion is applicable for short-chain haloolefins.

## 7. Discussion

There is an extensive body of data concerning the atmospheric chemistry of haloolefins and the atmospheric oxidation mechanism for these compounds is well understood. Haloolefins react rapidly with OH radicals and as a result have short atmospheric lifetimes and negligible GWPs. The ability of haloolefins to contribute to tropospheric ozone formation is negligible, the oxidation products of short-chain haloolefins are benign. The commercially relevant short-chain haloolefins  $\text{CF}_3\text{CF}=\text{CH}_2$  (1234yf), *trans*- $\text{CF}_3\text{CH}=\text{CHF}$  (1234ze(Z)),  $\text{CF}_3\text{CF}=\text{CF}_2$  (1216), *cis*- $\text{CF}_3\text{CH}=\text{CHCl}$  (1233zd(Z)), and *trans*- $\text{CF}_3\text{CH}=\text{CHCl}$  (1233zd(E)) can be considered to be environmentally acceptable.

## Acknowledgements

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## SAFETY DATA SHEET

**Honeywell**

**cis-1-Chloro-3,3,3-Trifluoropropene (synonyms  
1-Chloro-3,3,3-trifluoropropene(Z), cis-1233zd and 1233zd(Z))**

**000000017624**

Version 1.2

Revision Date 01/05/2017

Print Date 01/05/2017

**SECTION 1. PRODUCT AND COMPANY IDENTIFICATION**

Product name : cis-1-Chloro-3,3,3-Trifluoropropene (synonyms  
1-Chloro-3,3,3-trifluoropropene(Z), cis-1233zd and 1233zd(Z))

Number : 000000017624

Product Use Description : Solvent

Note : This sample is for research and development purposes only.  
The handling and use of this material must be supervised by  
qualified individuals. The chemical, physical and toxicological  
properties of this material have not been fully investigated. Use  
due precaution in handling, storage and disposal.

Manufacturer or supplier's details : Honeywell International Inc.  
115 Tabor Road  
Morris Plains, NJ 07950-2546

For more information call : 800-522-8001  
+1-973-455-6300  
(Monday-Friday, 9:00am-5:00pm)

In case of emergency call : **Medical: 1-800-498-5701 or +1-303-389-1414**  
: **Transportation (CHEMTREC): 1-800-424-9300 or**  
: **+1-703-527-3887**  
:  
: (24 hours/day, 7 days/week)

**SECTION 2. HAZARDS IDENTIFICATION****Emergency Overview**

Form : liquid, clear

Color : colourless

Odor : slight

**Classification of the substance or mixture**



**cis-1-Chloro-3,3,3-Trifluoropropene (synonyms  
1-Chloro-3,3,3-trifluoropropene(Z), cis-1233zd and 1233zd(Z))****000000017624**

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Not a dangerous substance or mixture according to the Globally Harmonised System (GHS).

Precautionary statements : **Prevention:**  
Use personal protective equipment as required.

Hazards not otherwise classified : Excessive exposure may cause central nervous system effects including drowsiness and dizziness. Excessive exposure may also cause cardiac arrhythmia.

**Carcinogenicity**

No component of this product present at levels greater than or equal to 0.1% is identified as a known or anticipated carcinogen by NTP, IARC, or OSHA.

**SECTION 3. COMPOSITION/INFORMATION ON INGREDIENTS**

Synonyms : 1-Chloro-3,3,3-trifluoropropene(Z), cis-1233zd and 1233zd(Z)

Formula : C<sub>3</sub>H<sub>2</sub>ClF<sub>3</sub>

Chemical nature : Substance

Chemical name	CAS-No.	Concentration
cis-1-Chloro-3,3,3-trifluoropropene (1233zd(Z))	99728-16-2	>99.00 %

**SECTION 4. FIRST AID MEASURES**

Inhalation : Remove to fresh air. If not breathing, give artificial respiration. If breathing is difficult, give oxygen. Use oxygen as required, provided a qualified operator is present. Call a physician.

Skin contact : After contact with skin, wash immediately with plenty of water. If symptoms persist, call a physician. Take off all contaminated clothing immediately. Wash contaminated clothing before re-use.

Eye contact : Rinse immediately with plenty of water, also under the eyelids, for at least 15 minutes. Call a physician if irritation develops or

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persists.

Ingestion

: Do not induce vomiting without medical advice. Never give anything by mouth to an unconscious person. Call a physician immediately.

**Notes to physician**

Treatment

: Treat symptomatically.

**SECTION 5. FIREFIGHTING MEASURES**

Suitable extinguishing media

: The product is not flammable.  
Use extinguishing measures that are appropriate to local circumstances and the surrounding environment.  
Water spray  
Carbon dioxide (CO<sub>2</sub>)  
Dry chemical  
Foam

Specific hazards during firefighting

: This product is not flammable at ambient temperatures and atmospheric pressure.  
However, this material can ignite when mixed with air under pressure and exposed to strong ignition sources.  
Container may rupture on heating.  
Cool closed containers exposed to fire with water spray.  
Do not allow run-off from fire fighting to enter drains or water courses.  
Vapours are heavier than air and can cause suffocation by reducing oxygen available for breathing.  
Exposure to decomposition products may be a hazard to health.  
In case of fire hazardous decomposition products may be produced such as:  
Hydrogen fluoride  
Gaseous hydrogen chloride (HCl).  
Carbon monoxide  
Carbon dioxide (CO<sub>2</sub>)  
Carbonyl halides

Special protective equipment for firefighters

: In the event of fire and/or explosion do not breathe fumes.  
Wear self-contained breathing apparatus and protective suit.  
No unprotected exposed skin areas.



**cis-1-Chloro-3,3,3-Trifluoropropene (synonyms  
1-Chloro-3,3,3-trifluoropropene(Z), cis-1233zd and 1233zd(Z))**

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**SECTION 6. ACCIDENTAL RELEASE MEASURES**

- Personal precautions : Immediately evacuate personnel to safe areas.  
Keep people away from and upwind of spill/leak.  
Wear personal protective equipment. Unprotected persons must be kept away.  
Remove all sources of ignition.  
Ventilate the area.  
Vapours are heavier than air and can cause suffocation by reducing oxygen available for breathing.  
Avoid accumulation of vapours in low areas.  
Unprotected personnel should not return until air has been tested and determined safe.  
Ensure that the oxygen content is  $\geq 19.5\%$ .
- Environmental precautions : Should not be released into the environment.  
Do not flush into surface water or sanitary sewer system.  
Prevent further leakage or spillage if safe to do so.  
Prevent spreading over a wide area (e.g. by containment or oil barriers).
- Methods for cleaning up : Contain spillage, and then collect with non-combustible absorbent material, (e.g. sand, earth, diatomaceous earth, vermiculite) and place in container for disposal according to local / national regulations (see section 13).

**SECTION 7. HANDLING AND STORAGE**

**Handling**

- Handling : Handle with care.  
Do not use in areas without adequate ventilation.  
Perform filling operations only at stations with exhaust ventilation facilities.  
Open drum carefully as content may be under pressure.  
Wash thoroughly after handling.  
Do not eat, drink or smoke when using this product.  
Do not swallow.  
Do not breathe vapours or spray mist.  
Avoid contact with skin, eyes and clothing.

**cis-1-Chloro-3,3,3-Trifluoropropene (synonyms  
1-Chloro-3,3,3-trifluoropropene(Z), cis-1233zd and 1233zd(Z))****000000017624**

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Advice on protection against fire and explosion : Can form a combustible mixture with air at pressures above atmospheric pressure.  
Keep product and empty container away from heat and sources of ignition.

**Storage**

Requirements for storage areas and containers : Keep containers tightly closed in a dry, cool and well-ventilated place.  
Storage rooms must be properly ventilated.  
Store in original container.  
Keep away from direct sunlight.  
Protect from physical damage.  
Store away from incompatible substances.

**SECTION 8. EXPOSURE CONTROLS/PERSONAL PROTECTION**

Protective measures : Ensure that eyewash stations and safety showers are close to the workstation location.  
Do not breathe vapours or spray mist.  
Avoid contact with skin, eyes and clothing.

Engineering measures : Use with local exhaust ventilation.  
Perform filling operations only at stations with exhaust ventilation facilities.

Eye protection : Do not wear contact lenses.  
Wear as appropriate:  
Goggles or face shield, giving complete protection to eyes

Hand protection : Impervious gloves  
Gloves must be inspected prior to use.  
Replace when worn.

Skin and body protection : Wear as appropriate:  
Solvent-resistant gloves  
Solvent-resistant apron and boots  
If splashes are likely to occur, wear:  
Protective suit

Respiratory protection : In case of insufficient ventilation wear suitable respiratory equipment.



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**Hygiene measures**

For rescue and maintenance work in storage tanks use self-contained breathing apparatus.  
Use NIOSH approved respiratory protection.

: Handle in accordance with good industrial hygiene and safety practice.  
Avoid contact with skin, eyes and clothing.  
Do not breathe vapours or spray mist.  
Ensure adequate ventilation, especially in confined areas.  
Remove and wash contaminated clothing before re-use.  
Contaminated work clothing should not be allowed out of the workplace.  
Keep working clothes separately.  
Wash hands before breaks and immediately after handling the product.

**Exposure Guidelines**

Contains no substances with occupational exposure limit values.

**SECTION 9. PHYSICAL AND CHEMICAL PROPERTIES**

Physical state	: liquid, clear
Color	: colourless
Odor	: slight
Melting point/range	: Note: not determined
Boiling point/boiling range	: 39 °C
Flash point	: Note: Not applicable
Lower explosion limit	: Note: None
Upper explosion limit	: Note: None
Vapor pressure	: 595 hPa

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	at 25 °C(77 °F)
Vapor density	: Note: (Air = 1.0), not determined
Density	: 1.3 g/cm <sup>3</sup> at 25 °C
Water solubility	: Note: not determined
Ignition temperature	: Note: not determined
Molecular weight	: 130.5 g/mol

**SECTION 10. STABILITY AND REACTIVITY**

Possibility of hazardous reactions	: Polymerization can occur.
Conditions to avoid	: Protect from heat/overheating. Keep away from direct sunlight. Heat, flames and sparks. Do not mix with oxygen or air above atmospheric pressure.
Incompatible materials to avoid	: Strong oxidizing agents Magnesium Aluminium
Hazardous decomposition products	: In case of fire hazardous decomposition products may be produced such as: Carbon monoxide Carbon dioxide (CO <sub>2</sub> ) Carbonyl halides Gaseous hydrogen chloride (HCl). Gaseous hydrogen fluoride (HF).



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**SECTION 11. TOXICOLOGICAL INFORMATION**

Acute inhalation toxicity	: LC50: 36021 - 53352 ppm, vapour Exposure time: 4 h Species: Rat, male and female
	: No-observed-effect level: 2500 ppm Species: dogs Symptoms: Cardiac sensitization
	: Threshold limits: 5000 ppm Species: dogs Symptoms: Cardiac sensitization
Repeated dose toxicity	: Species: Rat, male and female Application Route: Oral Exposure time: 28 d No observed adverse effect level: 300 mg/kg/d
	: Species: Rat, male and female Application Route: inhalation (vapour) Exposure time: 13 Weeks No observed adverse effect level: 5000 ppm
Genotoxicity in vitro	: Test Method: Mutagenicity (Salmonella typhimurium - reverse mutation assay) Metabolic activation: with and without metabolic activation Result: negative
	: Test Method: Chromosome aberration test in vitro Cell type: Human lymphocytes Metabolic activation: with and without metabolic activation Result: negative
Genotoxicity in vivo	: Test Method: unscheduled DNA synthesis assay Species: Rat Application Route: inhalation (vapour) Result: negative
Genotoxicity in vivo	: Test Method: Micronucleus test Species: Rat Application Route: inhalation (vapour)

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Result: negative

**SECTION 12. ECOLOGICAL INFORMATION****Ecotoxicity effects**

- Toxicity to fish : semi-static test  
LC50: 46.8 mg/l  
Exposure time: 96 h  
Species: Oncorhynchus mykiss (rainbow trout)  
Method: OECD Test Guideline 203
- Toxicity to daphnia and other aquatic invertebrates : static test  
EC50: 27.6 mg/l  
Exposure time: 48 h  
Species: Daphnia magna (Water flea)  
Method: OECD Test Guideline 202
- Toxicity to algae : Growth inhibition  
EC50: > 97.5 mg/l  
Exposure time: 72 h  
Species: Pseudokirchneriella subcapitata (green algae)
- : Growth rate  
EC50: > 97.5 mg/l  
Exposure time: 72 h  
Species: Pseudokirchneriella subcapitata (green algae)
- : Biomass  
EC50: > 97.5 mg/l  
Exposure time: 72 h  
Species: Pseudokirchneriella subcapitata (green algae)
- : NOEC: 41 mg/l  
Exposure time: 72 h  
Species: Pseudokirchneriella subcapitata (green algae)

**Further information on ecology**



## SAFETY DATA SHEET

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**SECTION 13. DISPOSAL CONSIDERATIONS**

Disposal methods : Observe all Federal, State, and Local Environmental regulations.

Note : Where possible recycling is preferred to disposal or incineration.

**SECTION 14. TRANSPORT INFORMATION**

**DOT** Not dangerous goods

**TDG** Not dangerous goods

**IATA** Not dangerous goods

**IMDG** Not dangerous goods

**SECTION 15. REGULATORY INFORMATION****Inventories**

US. Toxic Substances Control Act : Not On TSCA Inventory

Australia. Industrial Chemical (Notification and Assessment) Act : Not in compliance with the inventory

Canada. Canadian Environmental Protection Act (CEPA). Domestic Substances List (DSL) : Not in compliance with the inventory

Japan. Kashin-Hou Law List : Not in compliance with the inventory

Korea. Toxic Chemical Control Law (TCCL) List : Not in compliance with the inventory

Philippines. The Toxic Substances and Hazardous and Nuclear Waste Control : Not in compliance with the inventory

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Act

China. Inventory of Existing Chemical Substances : Not in compliance with the inventory

New Zealand. Inventory of Chemicals (NZIoC), as published by ERMA New Zealand : Not in compliance with the inventory

**National regulatory information**

TSCA : This material must be used in compliance with the TSCA Research and Development Exemption requirements (40 CFR 720.36).

SARA 302 Components : No chemicals in this material are subject to the reporting requirements of SARA Title III, Section 302.

SARA 313 Components : This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313.

SARA 311/312 Hazards : Acute Health Hazard

California Prop. 65 : This product does not contain any chemicals known to State of California to cause cancer, birth defects, or any other reproductive harm.

**SECTION 16. OTHER INFORMATION**

	<b>HMIS III</b>	<b>NFPA</b>
Health hazard	: 1	2



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Flammability	:	0	0
Physical Hazard	:	0	
Instability	:		0

Hazard rating and rating systems (e.g. HMIS® III, NFPA): This information is intended solely for the use of individuals trained in the particular system.

#### Further information

The information provided in this Safety Data Sheet is correct to the best of our knowledge, information and belief at the date of its publication. The information given is designed only as a guidance for safe handling, use, processing, storage, transportation, disposal and release and is not to be considered a warranty or quality specification. The information relates only to the specific material designated and may not be valid for such material used in combination with any other materials or in any process, unless specified in the text. Final determination of suitability of any material is the sole responsibility of the user. This information should not constitute a guarantee for any specific product properties.

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